



Exploring Federal Oversight of the Health Care Landscape

John Van Der Schans
Office of Evaluation and Inspections





Overview

I. About the Office of Inspector General

II. Our Approach to Oversight

III. HHS OIG At Work





HHS OIG: Who We Are



HHS Operating Divisions



ADMINISTRATION FOR
CHILDREN & FAMILIES



ATSDR

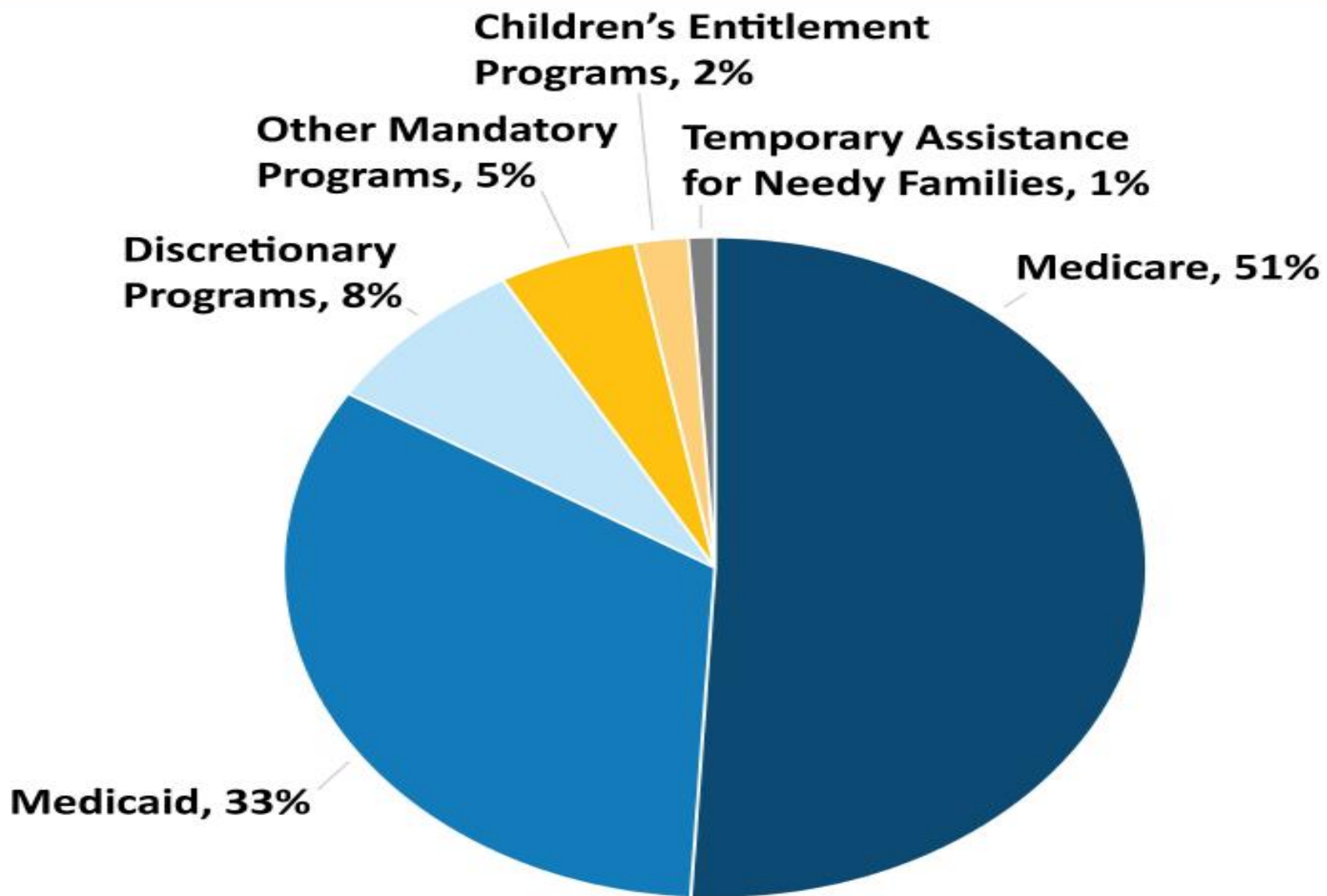


National Institutes of Health
Turning Discovery Into Health





THE FY 2023 BUDGET - \$1.6 TRILLION IN OUTLAYS



HHS Office of Inspector General

- Office of Inspector General (OIG)
 - Inspector General Act of 1978 established for oversight of major federal agencies
 - Independent oversight agency within HHS with a focus on fraud, waste, and abuse
 - 1,600 employees (largest Inspector General's office in the Federal government)
 - Oversight conducted from regional offices, headed by Regional IGs or Special Agents in Charge

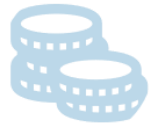


Christi Grimm
HHS Inspector General



HHS OIG Mission

- **OIG's mission** is to provide objective oversight to promote the economy, efficiency, effectiveness, and integrity of HHS programs, as well as the health and welfare of the people they serve



Fight Fraud, Waste and Abuse



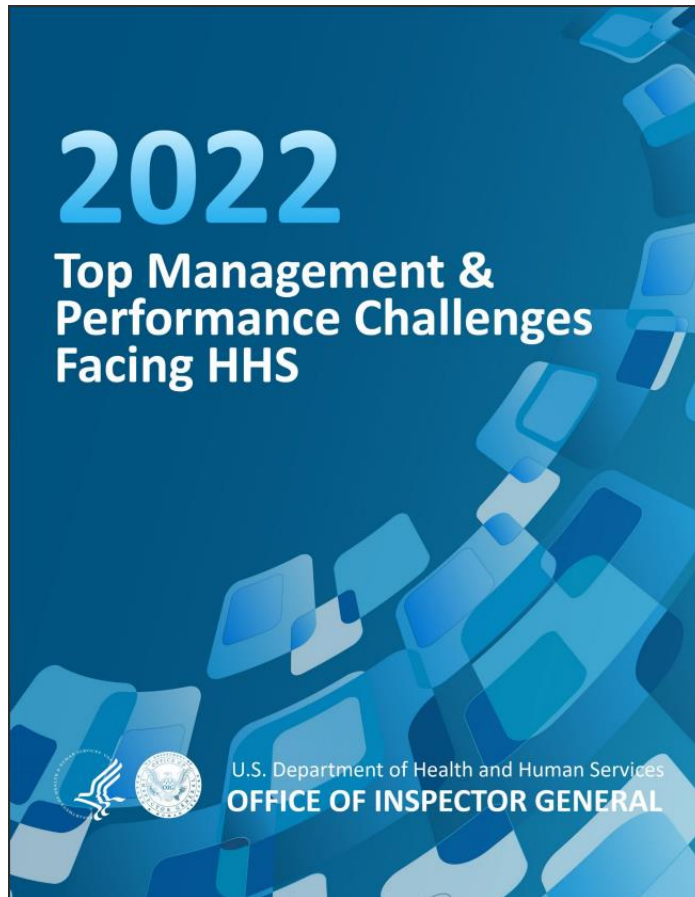
Promote Quality, Safety, and Value



Advance Excellence and Innovation



Top Management Challenges - 2022



1 Safeguarding Public Health

2 Ensuring the Financial Integrity of HHS Programs

3 Delivering Value, Quality, and Improved Outcomes in CMS Programs

4 Safeguarding the Well-Being of HHS Beneficiaries

5 Harnessing and Protecting Data and Technology To Improve the Health and Well-Being of Individuals

6 Strengthening Coordination for Better Programs and Services





Our Approach to Oversight



OIG's Oversight Activities

To accomplish its mission, OIG conducts independent and objective **investigations, audits, and evaluations** to:

- Promote effective program management
- Keep the agency leadership and Congress informed
- Protect public health
- Save taxpayer dollars





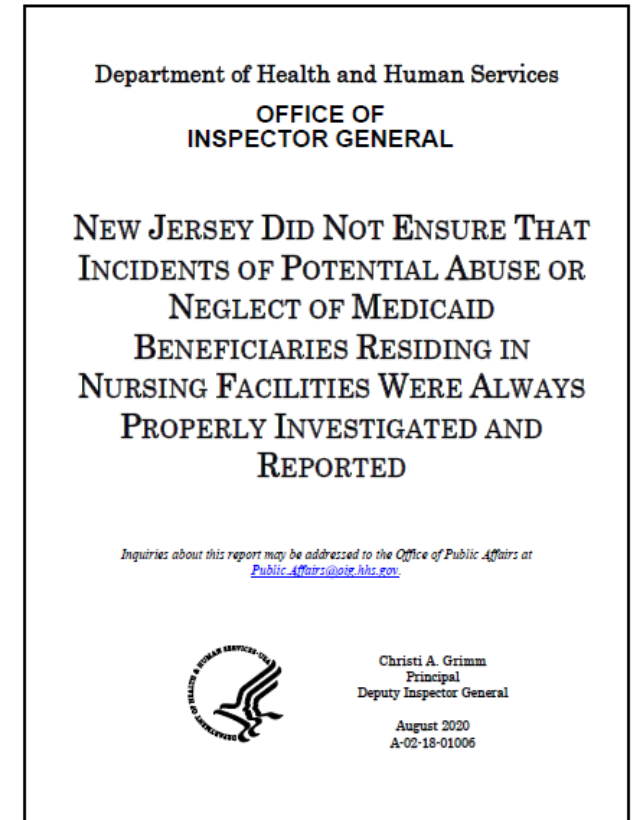
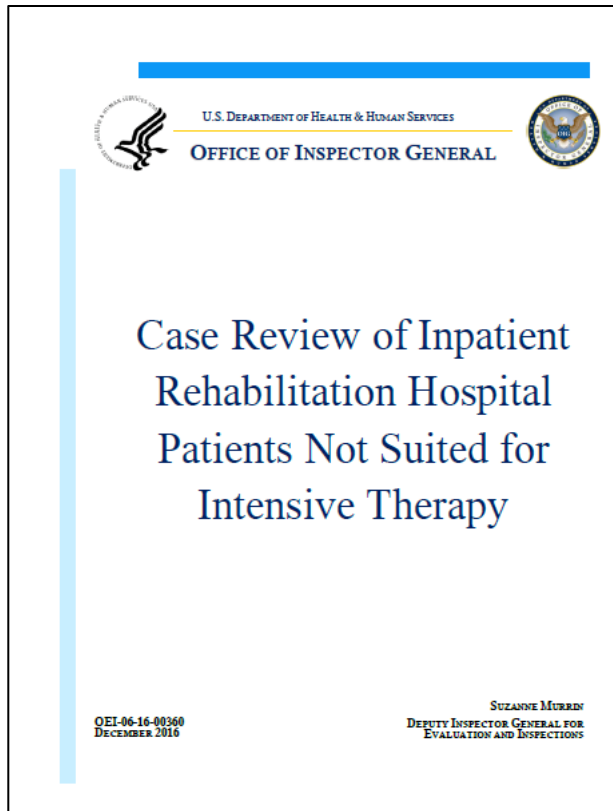
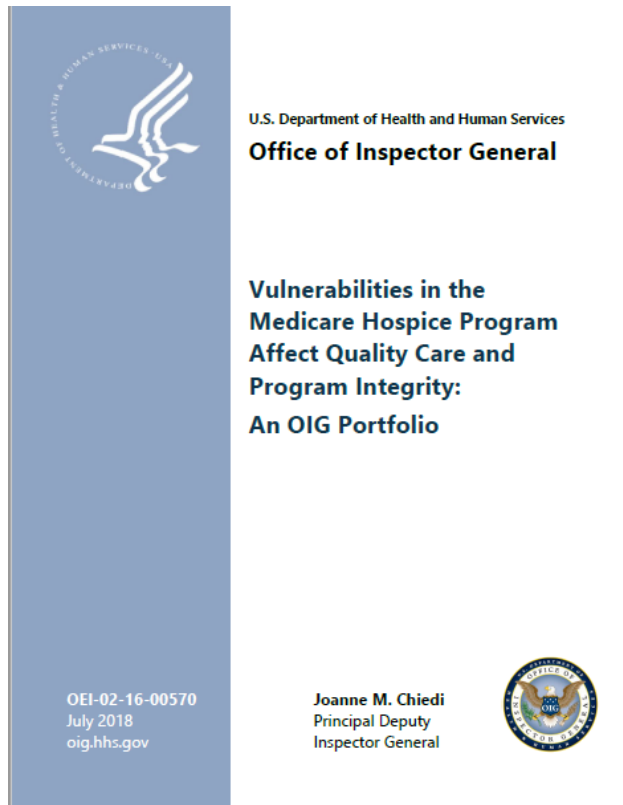
OIG Work Plan



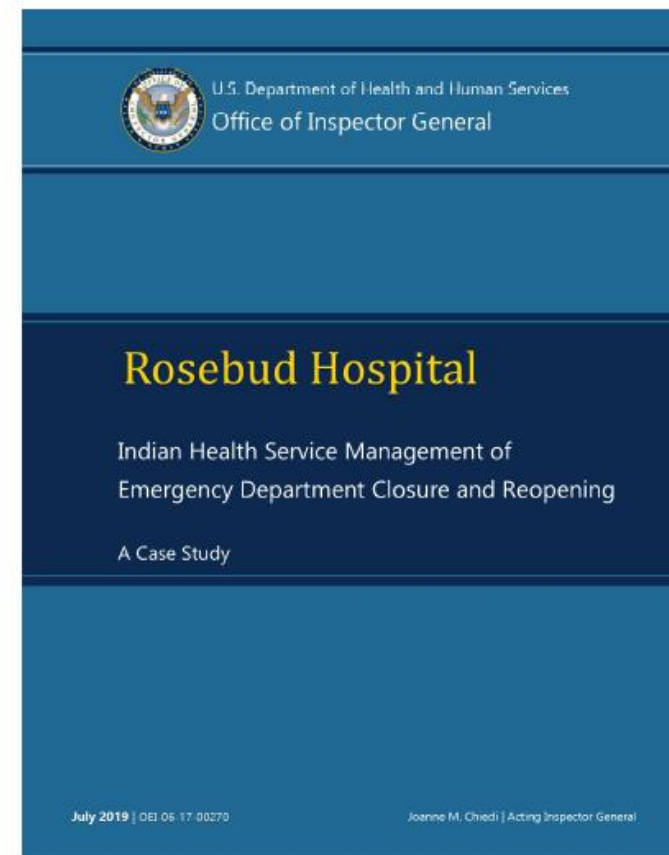
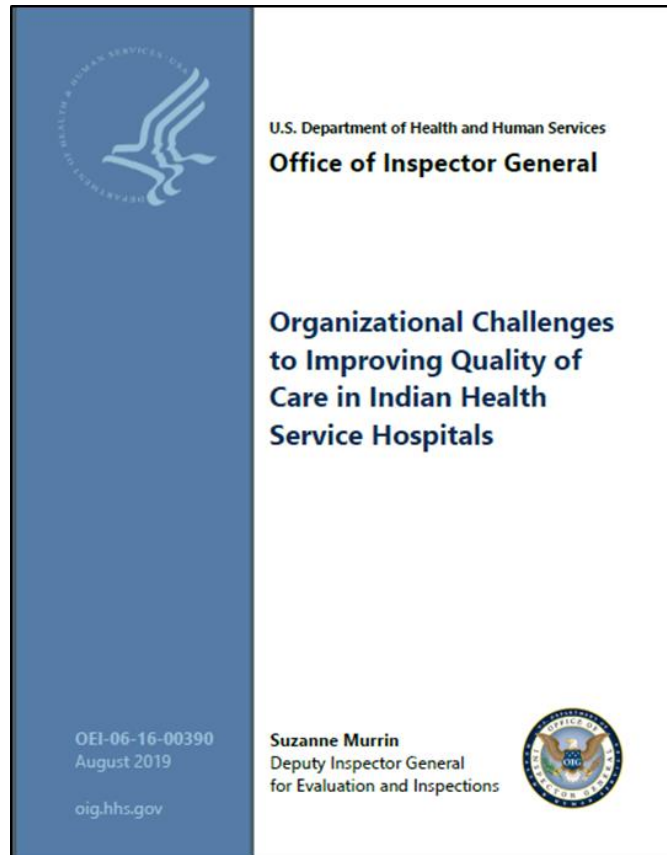
- Mandatory OIG reviews – *ex: MFCU, improper payments*
- Requests from Congress – *ex: alternative senior care*
- OIG Strategic Plan – *ex: core focus on execution*
- Discussions with CMS and HHS – *ex: private equity in nursing homes*
- Emerging issues – *ex: concurrent emergencies*
- Unimplemented OIG recommendations – *ex: ADHD meds, food and drug recalls, placement in SNFs vs. IRFs*



Compliance Audits/Evaluations



Management Reviews



Primary Research

U.S. Department of Health and Human Services
Office of Inspector General



**Adverse Events in Hospitals:
A Quarter of Medicare
Patients Experienced Harm in
October 2018**

Christi A. Grimm
Inspector General
May 2022, OEI-06-18-00400



U.S. Department of Health and Human Services
Office of Inspector General



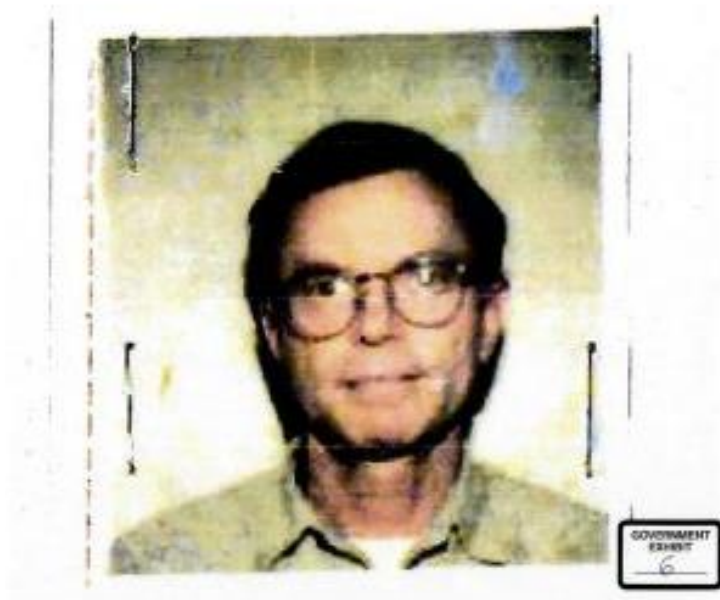
**Hospitals Reported That the
COVID-19 Pandemic Has
Significantly Strained Health
Care Delivery**

Results of a National Pulse Survey
February 22–26, 2021

Christi A. Grimm
Principal Deputy Inspector General
March 2021, OEI-09-21-00140



Exclusions Program



REPORT FRAUD

Home • FAQs • FOIA • Contact • HEAT • Download Reader •  

 U.S. Department of Health & Human Services
Office of Inspector General
U.S. Department of Health & Human Services

Report #, Topic, Keyword..
Advanced

About OIG | Reports & Publications | **Fraud** | Compliance | Exclusions | Newsroom | Careers

Home > Exclusions

Visit our [tips page](#) to learn how to best use the Exclusions Database. If you experience technical difficulties, please email the webmaster at webmaster@oig.hhs.gov.

Exclusions Search Results: Individuals 

Results were found for

✦ Weber, Stanley

 If the name of the individual or entity appears below, click on the underlined last name or entity name to Verify the record. If the name does not appear in the search results below, print this Web page for your documentation.

<u>Last Name</u>	<u>First Name</u>	<u>Middle Name</u>	<u>General</u>	<u>Specialty</u>	<u>Exclusion</u>	<u>Waiver</u>	<u>SSN/EIN</u>
<u>WEBER</u>	STANLEY	PATRICK	PHYSICIAN (MD, DO)	PEDIATRICS	1128(a)(2)		<u>Verify</u>

Search conducted 10/19/2020 10:14:47 PM EST on OIG LEIE Exclusions database.
Source data updated on 10/8/2020 9:00:00 AM EST



Fraud Programs



Fraud Hotline



Consumer Alerts



Enforcement



Fugitives

Report the Scam

HHS OIG Hotline

[TIPS.HHS.GOV](https://tips.hhs.gov)

[1-800-447-8477](tel:1-800-447-8477)

TTY: [1-800-377-4950](tel:1-800-377-4950)

Federal Trade Commission

[1-877-FTC-HELP](https://www.ftc.gov/help)



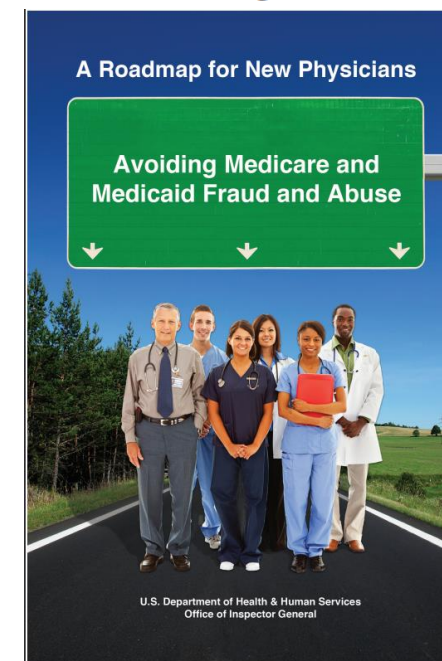
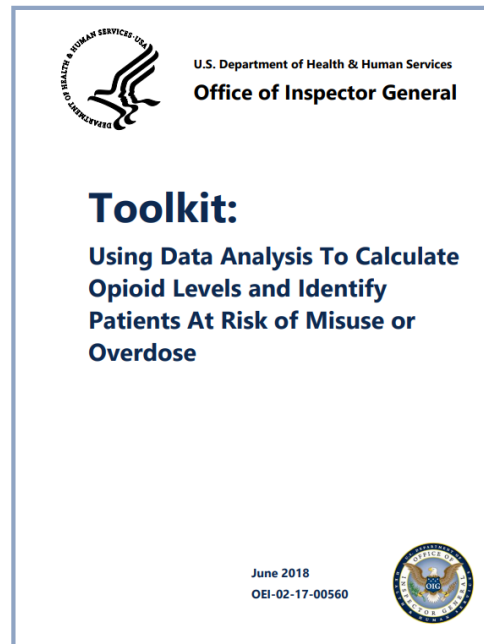
Compliance Resources

 **Advisory Opinions**

 **Corporate Integrity Agreements**

 **Toolkits**

 **A Roadmap for New Physicians**

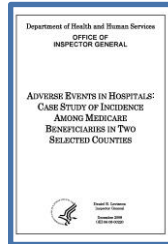




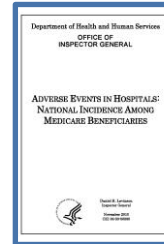
HHS OIG At Work



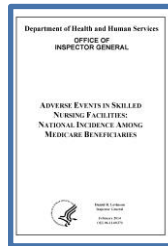
OIG Reports on Patient Safety



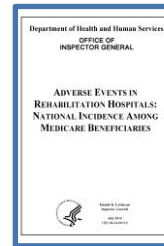
30%
Pilot Study (2008)



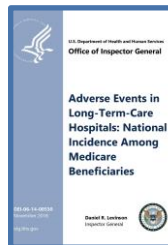
27%
Hospitals (2010)



33%
Nursing Homes (2014)



29%
Inpatient Rehab (2016)



46%
Long-term Care (2018)



13%
IHS Hospitals (2020)



Medicare Overpayments

Department of Health and Human Services

OFFICE OF
INSPECTOR GENERAL

CMS REPORTED COLLECTING JUST
OVER HALF OF THE
\$498 MILLION IN MEDICARE
OVERPAYMENTS IDENTIFIED
BY OIG AUDITS

*Inquiries about this report may be addressed to the Office of Public Affairs at
PublicAffairs@oig.hhs.gov*



Christi A. Grimm
Inspector General

July 2022
A-04-18-03085



Pulse Surveys of More Than 300 Hospitals

U.S. Department of Health and Human Services
Office of Inspector General



Hospitals Reported That the
COVID-19 Pandemic Has
Significantly Strained Health
Care Delivery

Results of a National Pulse Survey
February 22–26, 2021

Christi A. Grimm
Principal Deputy Inspector General
March 2021, OEI-09-21-00140



Pulse 2: February 22-26, 2021

Findings

- Challenges delivering healthcare
- Staff burnout and acute shortages
- Responsibility for vaccine distribution
- Challenges maintaining financial position



Operation Brace Yourself

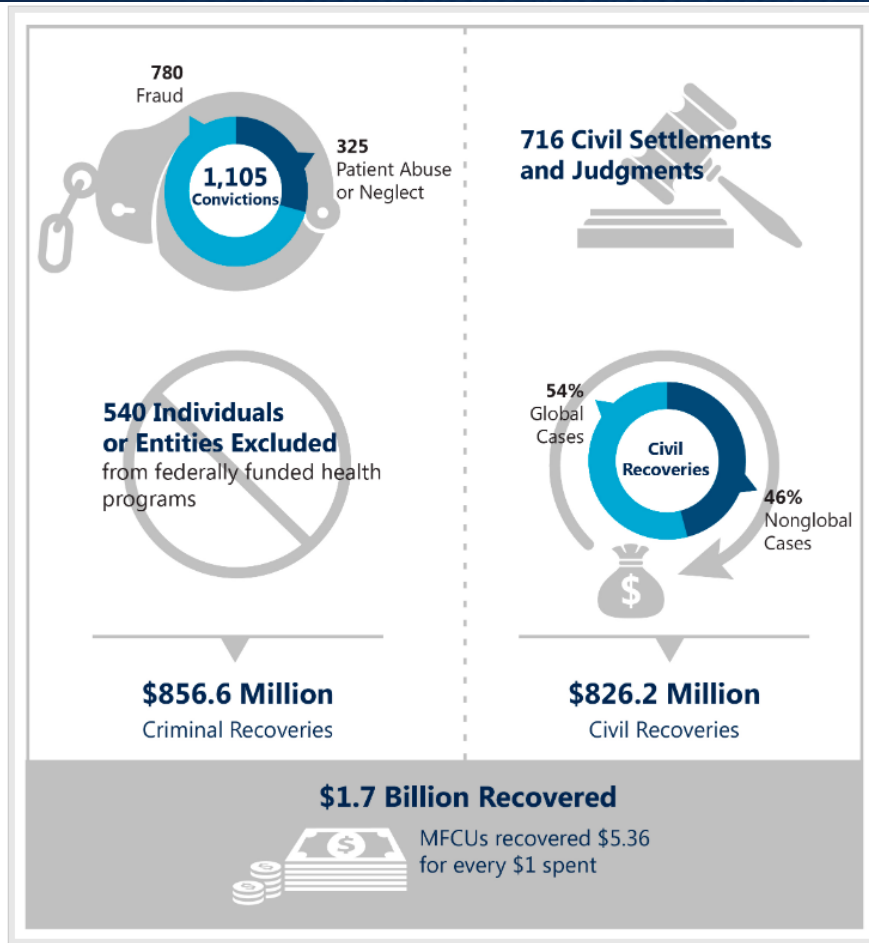
- Collaboration of HHS OIG, the Federal Bureau of Investigations, and the Department of Justice

\$1.7 Billion in
Medicare claims

24 Defendants
across 17 Federal agencies



Medicaid Fraud Control Units



MFCUs

- 50 States, D.C., Puerto Rico, and the U.S. Virgin Islands
- Annually recertified by OIG
 - Assesses performance and compliance with Federal requirements
 - Administered a Federal grant for a portion of operating costs





OIG Statistics

Return of \$12 to \$1
from health care
audits and
investigations

Statistic	FY 2022 (10/1/2021–9/30/2022)
Audit Reports Issued	114
Evaluations Issued	43
Expected Audit Recoveries	\$1,199,088,845
Questioned Costs	\$2,173,082,045
Potential Savings	\$279,087,234
New Audit and Evaluation Recommendations	445
Recommendations Implemented by HHS OpDivs	424
Expected Investigative Recoveries	\$2.73 billion
Criminal Actions	710
Civil Actions	736
Exclusions	2,332





OIG Hotline

OIG Hotline Activity (4/1/2022–9/30/2022)

Contacts to 1-800-HHS-TIPS phone line, including callers seeking information	60,880
Total tips evaluated	19,450
Tips referred for action	10,547
Closed; no basis provided for further action	1,343
Closed; no HHS violation ¹	770
Closed; other administrative reason	6,790

Sources of tips referred for action

Phone	2,930
OIG website	6,112
Letters or faxes	515
Other	990

More than
\$40 million
in expected
recoveries



Key Takeaways

- HHS OIG is the main, independent oversight organization for Federal agencies that provide health resources and assistance to the public
- We provide insights through reports, toolkits, and other methods that inform Congress, Federal agencies, and the public
- The organization has an active role in fighting against fraud, waste, and abuse that affects the financial and program integrity of HHS





Questions?



OIG Public Resources

OIG Strategic Plan

oig.hhs.gov/about-oig/strategic-plan/

HHS Top Management and Performance Challenges

<https://oig.hhs.gov/reports-and-publications/top-challenges/2022/index.asp>

OIG Strategic Plan for COVID-19 Response and Recovery Oversight

oig.hhs.gov/coronavirus/strat.asp

Semiannual Report to Congress

oig.hhs.gov/reports-and-publications/semiannual/index.asp

OIG's FY 2023 Budget Justification to Congress

<https://oig.hhs.gov/documents/root/1026/FY-2023-HHS-OIG-CJ.pdf>

